

10/533835
JC06 Rec'd PCT/PTO 04 MAY 2005
#3

EXPRESS MAIL CERTIFICATION UNDER 37 CFR 1.10

"Express Mail" label number EV203146098US
Date of deposit 5/4/2005

I hereby certify that the paper(s) identified above, and any document(s) referred to as attached hereto, is being deposited with the United States Postal Service on the date indicated in an envelope as "Express Mail Post Office to Addressee" and is addressed: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.


Christine Kotran

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Markus Krumme
Serial No. :
Filing Date : Herewith
Examiner :
Group Art Unit:
Title : MULTILAYERED TRANSMUCOSAL THERAPEUTIC
SYSTEM (as amended herein)
Attorney File : RO4037US (#90568)

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97**

Mail Stop PCT
Commissioner for Patents
P O Box 1450
Alexandria, Virginia, 22313-1450

Dear Sir,

Under the provision of 37 C.F.R. 1.56, 1.97 and 1.98, Applicant would like to call the Examiner's attention to the references listed on the attached Form PTO-1449. Copies of the U.S. patent references are not enclosed. Copies of the non-U.S. patent references and non-patent references, all of which were cited in the International Search Report mailed April 6, 2004 in the prior international application PCT/EP03/12272, are also not enclosed.

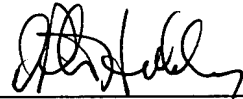
In accordance with 37 C.R.F. 1.97(b)(1), and as this Information Disclosure Statement is being filed with the application, the Statement is submitted before the issuance of a first Official Action on this case.

In accordance with 37 C.F.R. 1.97(g) and 37C.F.R.1.97(h), filing of this

Information disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 34 C.F.R. 1.56(b).

The Examiner is requested to make the attached references of record and to consider these references along with such other references deemed pertinent as a result of the Examiner's own independent search and examination.

Respectfully submitted,



D. Peter Hochberg
Reg. No. 24,603

D. Peter Hochberg Co., L.P.A.
1940 E. 6th Street - 6th Floor
Cleveland, OH 44114-2294
(216) 771-3800
DPH/sfm

FORM PTO-1449

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Markus Krumme

Serial No. :

Filing Date : Herewith

Examiner :

Group Art Unit:

Title : MULTILAYERED TRANSMUCOSAL THERAPEUTIC
SYSTEM (as amended herein)

Attorney File : RO4037US (#90568)

Mail Stop PCT
Commissioner for Patents
P O Box 1450
Alexandria, Virginia, 22313-1450

U S PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name
_____	4,615,697	10/7/86	Robinson
_____	4,713,243	12/15/87	Schiraldi, et al.
_____	4,772,470	9/20/89	Horiuchi, et al.
_____	4,855,142	8/8/89	Fankhauser, et al.
_____	4,876,092	10/24/89	Mizobuchi, et al.
_____	5,719,197	2/17/98	Kanios, et al.

U.S. Published Applications

Examiner Initial	Document Number	Date	Name
_____	2002/142036	10/3/2002	Rupprecht, et al.

Foreign Patent Documents

Examiner Initial	Document Number	Date	Country	Translation (Yes/No)
_____	WO 9955312	11/4/99	WO	No
_____	EP 0275550	7/27/88	EPO	No

Other Prior Art (Inc. Author, Title, Date, Pertinent Pages, Etc)

Examiner

Initial Document

_____ Cui, et al.; "Bilayer Films for Mucosal (Genetic) Immunization via the Buccal Route in Rabbits;" Pharmaceutical Research (New York); Vol. 19, No. 7, July, 2002; pages 947-953

_____ Solomonidou, et al.; "Effect of Carbomer Concentration and Degree of Neutralization on the Mucoadhesive Properties of Polymer Films;" Journal of Biomaterials Science Polymer Edition; Vol. 12, No. 11 (2001), pages 1191-1205

Examiner

Date Considered:

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant